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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/820,071	04/07/2004	Alana King	49335.2700	7229
7590 Howard Sobelman, Esq. Snell & Wilmer L.L.P. One Arizona Center 400 E. Van Buren Phoenix, AZ 85004-2202		10/04/2007	EXAMINER LIU, I JUNG	
			ART UNIT 3694	PAPER NUMBER
			MAIL DATE 10/04/2007	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/820,071	<b>Applicant(s)</b> KING ET AL.	
	<b>Examiner</b> Marissa Liu	<b>Art Unit</b> 3691	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 18 July 2007.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### DETAILED ACTION

1. Claims 1-22 are presented for examination. Applicant filed an amendment on 7/18/2007 amended claims 1, 3-4, 6-11, 13 and 17-21. In view of Applicant's amendment, the Examiner withdraws the grounds of rejection of claims 9 and 12 based on 35 USC 112. However, new grounds of rejection of claims 1-22 necessitated by Applicant's amendment are established in the instant office action as set forth in detail below.

#### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Scolini et al., Pub. No.: US 2003/0233321 A1 (PTO 892 form A) in view of Tsuei et al., US Publication Number: 2004/0083184 A1 (PTO 892 form B), further in view of Geiger et al, US Patent Number: 6,073,142 (PTO 892 form C).

3. As per claim 1, Scolini et al. teaches a method for generating account statements for batch printing, comprising:

grouping a plurality of accounts for which account statements are to be generated in a batch printing run (§ 1221; § 1230);

receiving account data for each of the plurality of accounts, the account data for use in generating at least one account statement for each of the plurality of accounts (§ 0903; § 0912; § 0920);

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presenting, to a user, a plurality of reporting formats that are available to be assigned to at least one of the plurality of account statements, each of the plurality of reporting formats defining a printed layout of the account data for the account statements (§ 0616; § 0842; § 1350);

receiving, from the user, a selection of a first account from the plurality of accounts, and a selection of a first reporting format from the plurality of reporting formats for the first account (§ 0273; § 0636; § 0642);

receiving, from the user, a selection of a second account from the plurality of accounts, and a selection of a second reporting format from the plurality of reporting formats for the second account (§ 0273; § 0636; § 0642);

generating a first account statement in the first reporting format for the first account and a second account statement in the second reporting format for the second account, said first and second account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).

Scolini et al. does not teach:

Retrieving statement parameters, wherein the statement parameters include a recipient list, statement description, statement type, statement status, a number of copies to be generated, a designation for corporate review, and a designation for internal copies and, in accordance with the statement parameters.

Tsuei et al. teaches:

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Retrieving statement parameters, wherein the statement parameters include statement description, statement type, statement status, a number of copies to be generated, and, in accordance with the statement parameters (page 17, paragraphs 0145-0148).

Therefore, it would be prima facie obvious to one of ordinary skill in the art at the time the invention was made to add retrieving statement parameters, wherein the statement parameters include statement description, statement type, statement status, a number of copies to be generated, and, in accordance with the statement parameters feature to method for generating account statements for batch printing of Scolini because Tsuei et al. teaches that adding the feature helps an makes the database available to distributors that need the information for relabeling (page 17, paragraph 0148 and page 2 paragraphs 0012-0021).

Geiger et al. teaches:

a recipient list, a designation for corporate review and internal copies (column 1, lines 15-34; column 4, lines 40-55; column 7, lines 31-41; column 25, lines 39-65).

Therefore, it would be prima facie obvious to one of ordinary skill in the art at the time the invention was made to add a recipient list, a designation for corporate review and internal copies feature to method for generating account statements for batch printing of Scolini because Geiger et al teaches that adding the feature helps to enables distributed network gatekeeping and review of the e-mail message by any number of corporate official designated as gatekeepers (page 17, paragraph 0148 and page 2 paragraphs 0012-0021).

4. As per claim 2, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 1 described above. Scolini further teaches said grouping further comprising:

grouping the plurality of accounts based on a group designation selected by the user (§ 0219; § 1207).

5. As per claim 3, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 2 described above. Scolini further teaches said first and second account statements comprising at least one of a billing statement, a credit card statement, and a summary of investment holdings (§ 0920-0921).

6. As per claim 4, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 3 described above. Scolini et al. further teaches said account data retrieved from a financial database (§ 0797-0798).

7. As per claim 5, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 4 described above. Scolini et al. further teaches the method comprising:

receiving, from the user, a correction of the account data for the first account, wherein the correction is entered into the financial database (§ 0561; § 0856; § 1218).

8. As per claim 6, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 5 described above. Scolini et al. further teaches the method comprising:

receiving, from the user, a selection of the second reporting format for the first account (§ 0273; § 0636; § 0642; § 1221; § 1230); and

generating a third account statement in the second reporting format for the first account, said first, second and third account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).

9. As per claim 7, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 6 described above. Scolini et al. further teaches the method comprising:

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receiving, from the user, a selection of a third reporting format from the plurality of reporting formats for the second account(¶ 0273; ¶ 0636; ¶ 0642; ¶ 1221; ¶ 1230); and

generating a third account statement in the third reporting format for the second account, said first, second and third account statements for printing in the batch printing run (¶ 0273; ¶ 0636; ¶ 0642; ¶ 1221; ¶ 1230).

10. As per claim 8, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 7 described above. Scolini further teaches the method comprising: designating at least one of the first and second account statements for quality review (¶ 0006; 1358; page 59).

11. As per claim 9, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 8 described above. Scolini et al. further teaches the method comprising comprising:

receiving, form the user, a parameter for generating the first and second account statements, the parameter including at least one of a time period for said account statements, a status of the accounts corresponding to said account statements, a corporate group responsible for the accounts, a number of copies of the account statements to be printed in the batch printing run (Figs. 16-18, 20-21), and a designation of whether the account statements are to undergo quality review (Figs. 21-22).

12. As per claim 10, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 9 described above. Scolini et al. further teaches the method comprising:

printing the batch printing run after said generating and a completion of a quality review for all designated account statements in the batch printing run (¶ 1259).

13. As per claim 11, claim 11 is equivalent of claim 1. Please refer to claim 1 rejection.

14. As per claim 12, claim 12 is equivalent of claim 2. Please refer to claim 2 rejection.

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15. As per claim 13, claim 13 is equivalent of claim 3. Please refer to claim 3 rejection.
16. As per claim 14, claim 14 is equivalent of claim 4. Please refer to claim 4 rejection.
17. As per claim 15, claim 15 is equivalent of claim 5. Please refer to claim 5 rejection.
18. As per claim 16, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 11 described above. Scolini et al. further teaches the method comprising: receiving, from the user, a selection of a second account from the plurality of accounts and a selection of the first reporting format for the second account (§ 0273; § 0636; § 0642); and generating a third account statement in the first reporting format for the second account, said first, second and third account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).
19. As per claim 17, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 11 described above. Scolini et al. further teaches the method comprising: receiving, from the user, a selection of a second account from the plurality of accounts and a selection of a third reporting format from the plurality of reporting formats for the second account (§ 0273; § 0636; § 0642); and generating a third account statement in the third reporting format for the second account, said first, second and third account statements for printing in the batch printing run (§ 0273; § 0636; § 0642; § 1221; § 1230).
20. As per claim 18, claim 18 is equivalent of claim 8. Please refer to claim 8 rejection.
21. As per claim 19, claim 19 is equivalent of claim 9. Please refer to claim 9 rejection.
22. As per claim 20, claim 20 is equivalent of claim 10. Please refer to claim 10 rejection.
23. As per claim 21, claim 21 is equivalent of claim 1. Please refer to claim 1 rejection.



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24. As per claim 22, Scolini et al. Tsuei et al. and Geiger et al. teach the method of claim 21 described above. Scolini et al. further teaches wherein the account is automatically designated for quality review unless changed by the user (§ 0006; ¶ 1358; page 59; Figs. 21-22).

*Response to Arguments*

3. Applicant's arguments with respect to claims 1-22 have been considered but are moot in view of the new ground(s) of rejection.

*Conclusion*

4. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

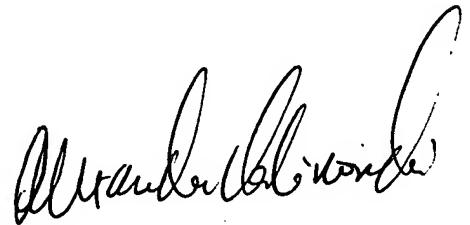
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Alexander G. Kalinowski can be reached on 571-272-6711. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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A handwritten signature in black ink, appearing to read "Alexander Kalinowski", written in a cursive style.

ALEXANDER KALINOWSKI  
SUPERVISORY PATENT EXAMINER